



Attorney Docket No. 5308-310

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gerald H. Negley et al.

Application Serial No.: 10/659,240

Filed: September 9, 2003

For: TRANSMISSIVE OPTICAL ELEMENTS INCLUDING TRANSPARENT PLASTIC SHELL HAVING A PHOSPHOR DISPERSED THEREIN, AND METHODS OF FABRICATING SAME

November 11, 2003

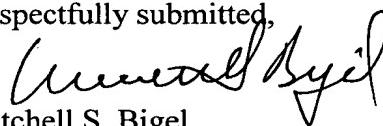
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)**

Sir:

Attached is a form PTO-1449, together with a copy of each of the identified document(s). It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

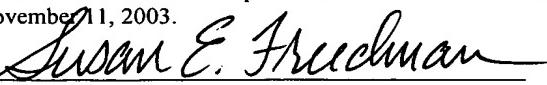
  
Mitchell S. Bigel

Registration No. 29,614

Myers Bigel Sibley & Sajovec, P.A.  
P. O. Box 37428  
Raleigh, North Carolina 27627  
Telephone: (919) 854-1400  
Facsimile: (919) 854-1401  
Customer No. 20792

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Susan E. Freedman

Date of Signature: November 11, 2003

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

NOV 14 2003

(use as many sheets as necessary)

Sheet 1 of 2

Application Number	10/659,240
Filing Date	09/09/2003
First Named Inventor	Gerald H. Negley
Group Art Unit	
Examiner Name	
Attorney Docket Number	5308-310

## U.S. PATENTS AND PATENT PUBLICATIONS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
1	US-2003/0006418	A1		Emerson et al.	01/09/2003
2	US-2002/0123164	A1		Slater, Jr. et al.	09/05/2002
3	US-6,391,231	B1		Evans et al.	05/21/2002
4	US-6,383,417	B1		Paulson et al.	05/07/2002
5	US-6,252,254	B1		Soules et al.	06/26/2001
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12	US-5,968,422			Kennedy	10/19/1999
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39	US-4,042,552			Grucza	08/16/1977
	US-				

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		NOV 14 2003		Application Number	10/659,240
(use as many sheets as necessary)		PATENT & TRADEMARK OFFICE		Filing Date	09/09/2003
Sheet	2	of	2	First Named Inventor	Gerald H. Negley
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	5308-310

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			
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41	JP	2002-280616	A	Nichia Chem Ind Ltd.	09/27/2002		
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63	JP	5-152609	A	Nichia Chem Ind Ltd.	06/18/1993		

#### **OTHER NON PATENT LITERATURE DOCUMENTS**

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not consider d. Include copy of this form with next communication to applicant.